

# Lattice QCD and Non-Perturbative Renormalization

*GDR-Workshop*

A. Vladikas

INFN - TOR VERGATA

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# Lecture 2: Schrödinger Functional

# RG-running on the lattice: motivation

# Operator RG-running

- suppose a quantity  $Q(\mu)$  (quark mass, operator WME) is renormalized in a NP scheme

$$Q_R(\mu) = \lim_{a \rightarrow 0} Z_Q(g_0^2, a\mu) Q(g_0^2)$$

- if you use a hadronic scheme, the renormalization scale is going to be low  $\mu \sim m_H$
- you need to know  $Q(\mu)$  at a larger scale either for conventional reasons (e.g. people are used to MS-scheme quark masses  $m_q(\mu)$  with  $\mu \sim 2\text{GeV}$ ) or for matching with perturbative scales, as in the OPE:

$$Q^{\text{phys}} = \sum C_W(\mu) \lim_{a \rightarrow 0} [ Z_Q(g_0^2, a\mu) \langle f | Q(g_0^2) | i \rangle ]$$

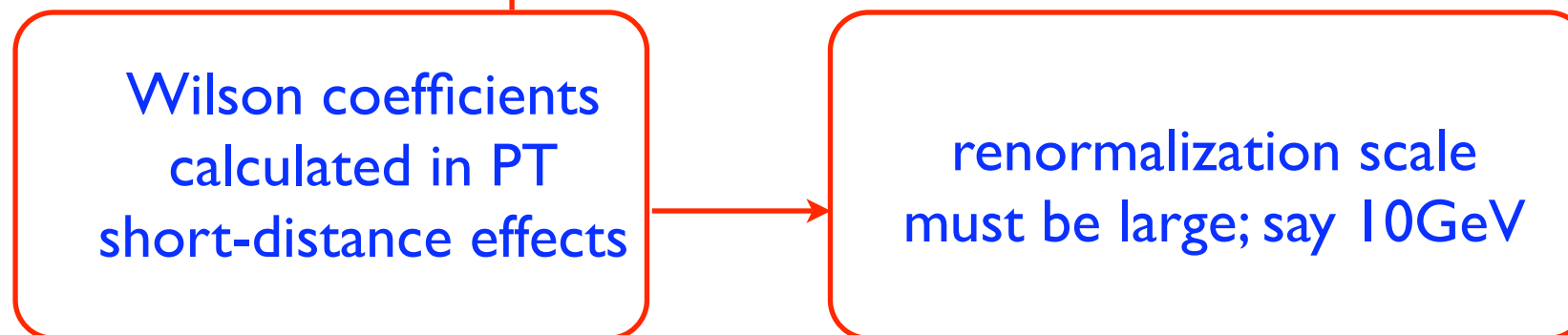
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must be  $O(1)$ , so as to avoid large logs  
must be smaller than 1, so as to avoid discretization errors

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- if we wish to compute everything at one go (a single lattice) we must also ensure that  $m_H L \gg 1$ , in order to avoid finite size errors
- i.e. we must satisfy  $L \gg 1/m_H \sim 1/(0.15 \text{ GeV}) \gg 1/\mu \sim 1/(10 \text{ GeV}) > a$
- IMPOSSIBLE on present day resources as it gives  $L/a = O(100-1000)$

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- need to compute the renormalized WME at a hadronic (low) scale  $\mu_{\text{min}}$  and then do RG-running all the way to a perturbative (high) scale  $\mu_{\text{max}}$
- an option is using PT for the RG running, introducing ill-controlled  $O(g^n)$  systematic errors
- the SF scheme, combined with finite size techniques, is the only one used so far for non-perturbative RG-running



**RG-running: generalities**

# RG-running in the continuum

- the basic idea is always that of Callan-Symanzik
- there are mass-independent renormalization schemes, in which the renormalization conditions are imposed at the chiral limit (this is sufficient to remove UV divergences)
- in such schemes the renormalization constants and running functions do not depend on the theory's masses:  $Z_g(a\mu, g_0)$ ,  $Z_m(a\mu, g_0)$ ,  $\beta(g_R)$ ,  $\gamma(g_R)$  etc.
- first we reformulate what we know from continuum QCD renormalization (usually worked out in PT) in a general, non-perturbative (N.P.) language, suitable to N.P. computations
- we start with the RG-running of the gauge coupling, expressed in terms of the Callan-Symanzik  $\beta$ -function

$$\beta(g_R) = \mu \frac{\partial g_R}{\partial \mu}$$

- it is simple to integrate this from a reference scale  $\mu_0$  to a general scale  $\mu$

$$\frac{\mu_0}{\mu} = \exp \left[ - \int_{g_R(\mu_0)}^{g_R(\mu)} \frac{dg}{\beta(g)} \right]$$

## RG-running in the continuum

$$\frac{\mu_0}{\mu} = \exp \left[ - \int_{g_R(\mu_0)}^{g_R(\mu)} \frac{dg}{\beta(g)} \right]$$

- it is natural, for an asymptotically free theory (QCD), to choose the reference scale  $\mu_0 \rightarrow \infty$ , for which  $g_R(\mu_0) \rightarrow 0$
- we know, however, the perturbative behaviour of the beta function at small couplings

$$\beta(g) = -g^3 \left[ b_0 + b_1 g^2 + b_2 g^4 + \dots \right]$$

$$b_0 = \frac{1}{(4\pi)^2} \left[ 11 - \frac{2N_f}{3} \right]$$

universal

$$b_1 = \frac{1}{(4\pi)^4} \left[ 102 - \frac{38N_f}{3} \right]$$

renormalization scheme

dependent

- the perturbative expression for  $\beta(g_R)$  tells us that the above integral diverges at the lower end  $g_R(\mu_0) = 0$ , due to the first two terms of the expansion (NLO)

## RG-running in the continuum

$$\frac{\mu_0}{\mu} = \exp \left[ - \int_{g_R(\mu_0)}^{g_R(\mu)} \frac{dg}{\beta(g)} \right]$$

- trick: add and subtract the potentially diverging term  $1/\beta_{\text{NLO}}(g_R)$  in the integrand:

$$\mu_0 = \mu \exp \left[ - \int_{g_R(\mu_0)}^{g_R(\mu)} dg \left[ \frac{1}{\beta(g)} - \frac{1}{\beta_{\text{NLO}}(g)} \right] \right] \exp \left[ - \int_{g_R(\mu_0)}^{g_R(\mu)} dg \frac{1}{\beta_{\text{NLO}}(g)} \right]$$

regular in the limit  $g_R(\mu_0) \rightarrow 0$

divergent in the limit  $g_R(\mu_0) \rightarrow 0$ ; calculable for  $g_R(\mu_0) \neq 0$

- calculate the NLO integral (for  $g_R(\mu_0) \neq 0$ ) and carry everything that depends on  $\mu_0$  to the LHS, leaving all  $\mu$ -dependent quantities on the RHS

$$\mu_0 \exp \left[ - \frac{1}{2b_0 g_R^2(\mu_0)} \right] \left[ b_0 g_R^2(\mu_0) \right]^{-b_1/(2b_0^2)} = \mu \exp \left[ - \frac{1}{2b_0 g_R^2(\mu)} \right] \left[ b_0 g_R^2(\mu) \right]^{-b_1/(2b_0^2)} \exp \left[ - \int_{g_R(\mu_0)}^{g_R(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right]$$

## RG-running in the continuum

$$\mu_0 \exp \left[ -\frac{1}{2b_0 g_R^2(\mu_0)} \right] \left[ b_0 g_R^2(\mu_0) \right]^{-b_1/(2b_0^2)} =$$

$$\mu \exp \left[ -\frac{1}{2b_0 g_R^2(\mu)} \right] \left[ b_0 g_R^2(\mu) \right]^{-b_1/(2b_0^2)} \exp \left[ -\int_{g_R(\mu_0)}^{g_R(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right]$$

- in the limit  $g_R(\mu_0) \rightarrow 0$ , the RHS is  $\mu_0$  independent; therefore the same holds for the LHS
- this enables us to define an energy scale, typical of the theory

$$\Lambda_{\text{QCD}} \equiv \lim_{\mu_0 \rightarrow \infty} \mu_0 \exp \left[ -\frac{1}{2b_0 g_R^2(\mu_0)} \right] \left[ b_0 g_R^2(\mu_0) \right]^{-b_1/(2b_0^2)}$$

$$\Lambda_{\text{QCD}} = \mu \exp \left[ -\frac{1}{2b_0 g_R^2(\mu)} \right] \left[ b_0 g_R^2(\mu) \right]^{-b_1/(2b_0^2)} \exp \left[ -\int_0^{g_R(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right]$$

## RG-running in the continuum

$$\Lambda_{\text{QCD}} \equiv \lim_{\mu_0 \rightarrow \infty} \mu_0 \exp \left[ -\frac{1}{2b_0 g_{\text{R}}^2(\mu_0)} \right] \left[ b_0 g_{\text{R}}^2(\mu_0) \right]^{-b_1/(2b_0^2)}$$

$$\Lambda_{\text{QCD}} = \mu \exp \left[ -\frac{1}{2b_0 g_{\text{R}}^2(\mu)} \right] \left[ b_0 g_{\text{R}}^2(\mu) \right]^{-b_1/(2b_0^2)} \exp \left[ -\int_0^{g_{\text{R}}(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right]$$

- this is an exact expression, from which standard PT results for LO and NLO cases may be obtained
- the “miracle” of renormalization is that, even for massless QCD, it generates an energy scale
- $\Lambda_{\text{QCD}}$  is Renormalization Group Invariant (RGI; i.e.  $\mu$ -independent) but depends on the renormalization scheme ( $\beta$  is scheme independent only to NLO order)
- $\Lambda_{\text{QCD}}$  depends on the number of quark flavours (cf.  $b_0, b_1$ ) but not on the value of the quark masses; in fact it may be calculated in PT, or computed NP<sup>ly</sup> with  $N_f$  massless quarks
- already at LO you can see from above that  $\Lambda_{\text{QCD}}$  corresponds to a NP coupling (oxymoron!)

$$g_{\text{R}}^2(\mu) = -\frac{1}{2b_0 \ln(\mu/\Lambda_{\text{QCD}})}$$

## RG-running in the continuum

$$\Lambda_{\text{QCD}} = \mu \exp \left[ -\frac{1}{2b_0 g_{\text{R}}^2(\mu)} \right] \left[ b_0 g_{\text{R}}^2(\mu) \right]^{-b_1/(2b_0^2)} \exp \left[ -\int_0^{g_{\text{R}}(\mu)} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right]$$

- suppose we have chosen a scheme; i.e. we have a definition of  $g_{\text{R}}(\mu)$ , accompanied by a renormalization condition for the coupling
- suppose that we have developed a powerful NP method (lattice) with which to compute  $\beta(\mu)$  in a vast range of scales: from, say  $\mu_{\text{min}} \sim \Lambda_{\text{QCD}}$  to  $\mu_{\text{max}} \sim 100 \text{ GeV}$
- the above tells us that the dimensionless ratio  $\Lambda_{\text{QCD}}/\mu$  can be calculated from first principles of QCD, without any “physical” input (e.g. a hadronic mass or any other experimentally known quantity); this ratio is a “pure” Quantum Field Theory quantity
- a “physical” input is required (as shown below) in order to establish the correspondence of a given reference coupling  $g_{\text{R}}(\mu_{\text{ref}})$  to its scale  $\mu_{\text{ref}}$  (in GeV); from this,  $\Lambda_{\text{QCD}}$  (in GeV) is immediately obtained
- we will show that the Schrödinger Functional renormalization scheme beautifully fulfills these expectations

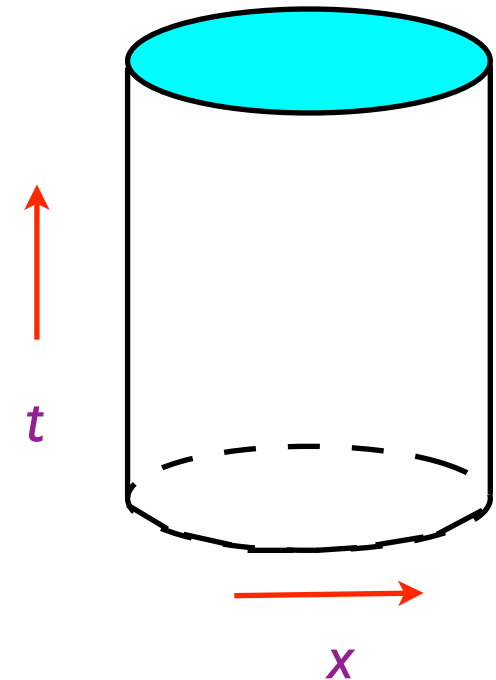
# The Schrödinger Functional

M.Lüscher, R.Narayanan, P.Weisz, U.Wolff Nucl.Phys.B384(1992)168  
M.Lüscher, R.Sommer, U.Wolff, P.Weisz Nucl.Phys.B389(1993)247  
S. Sint Nucl.Phys.B421(1994)135; Nucl.Phys.B451(1995)416  
M.Lüscher, S.Sint, R.Sommer, P.Weisz Nucl.Phys.B478(1996)365  
S.Capitani, M.Lüscher, R.Sommer, H.Wittig Nucl.Phys.B544(1999)669



# Schrödinger Functional in the continuum

- the SF scheme is defined in a finite  $L^4$  volume, with periodic boundary conditions (b.c.'s) in space and Dirichlet b.c.'s in time
- For a Yang-Mills theory this means that we must specify the gauge configurations at the time boundaries



$$A_{\mu}^{\Omega}(x) = \Omega(x) A_{\mu}(x) \Omega^{-1}(x) + \Omega(x) \partial_{\mu} \Omega(x)^{-1}$$

Gauge field

Gauge transformation

$$A_k(x) = C_k^{\Omega}(\vec{x}) \quad @ \quad x_0 = 0$$

$$A_k(x) = C'_k(\vec{x}) \quad @ \quad x_0 = L$$

Dirichlet b.c.'s at time boundaries

periodic b.c.'s in space

$$A_k(x) = A_k(x + L\vec{k})$$

$$\Omega(\vec{x}) = \Omega(\vec{x} + L\vec{k}) \quad @ \quad x = (\vec{x}, 0)$$

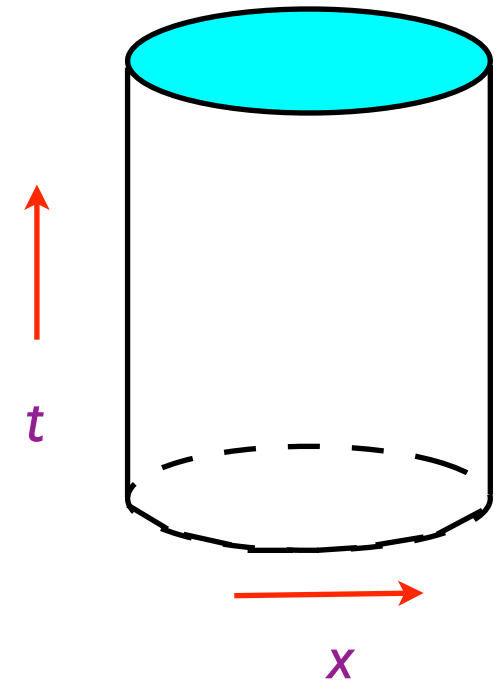
# Schrödinger Functional in the continuum

- the SF scheme is defined in a finite  $L^4$  volume, with periodic boundary conditions (b.c.'s) in space and Dirichlet b.c.'s in time
- the Euclidean partition function defines the SF

$$\mathcal{Z}[C', C] = \int \mathcal{D}[\Omega] \int \mathcal{D}[A_\mu] \exp\{-S_G[A]\}$$

standard Haar measures

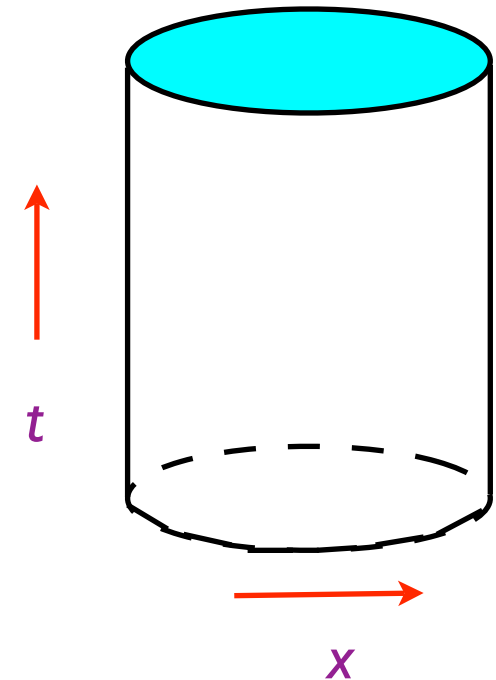
standard Gauge action **with SF b.c.'s**



- the integration over  $\Omega$  ensures that the SF is invariant under gauge transformations of the boundary fields  $C$  and  $C'$
- the SF is the quantum mechanical transition amplitude from a state  $|C\rangle$  to a state  $|C'\rangle$  within time  $L$
- we must extend this formalism to QCD by including fermions

# Schrödinger Functional in the continuum

- the SF scheme is defined in a finite  $L^4$  volume, with periodic boundary conditions (b.c.'s) in space and Dirichlet b.c.'s in time
- Dirichlet boundary conditions for quarks imply that we must fix only half of the components of the fermion fields at the boundaries
- with such b.c.'s the (first order) Dirac operator has a unique solution



$$P_+ \psi \Big|_{x_0=0} = \rho$$

$$\bar{\psi} P_- \Big|_{x_0=0} = \bar{\rho}$$

Dirichlet b.c.'s at  $x_0 = 0$

Dirichlet b.c.'s at  $x_0 = L$

$$P_- \psi \Big|_{x_0=L} = \rho'$$

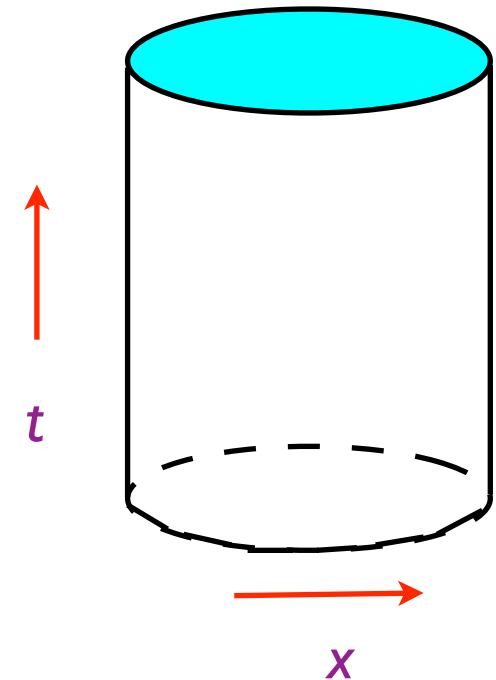
$$\bar{\psi} P_+ \Big|_{x_0=L} = \bar{\rho}'$$

$$P_{\pm} = \frac{1}{2}(1 + \gamma_0)$$

projects +ve (-ve) energy field components; i.e.  
forward (backward) movers

# Schrödinger Functional in the continuum

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- Dirichlet boundary conditions for quarks imply that we must fix only half of the components of the fermion fields at the boundaries
- with previous b.c.'s the quantum mechanical interpretation of the SF is analogous to that of the Yang Mills theory



$$\mathcal{Z}[C', \bar{\rho}', \rho'; C, \bar{\rho}, \rho] = \int \mathcal{D}[A] \mathcal{D}[\psi] \mathcal{D}[\bar{\psi}] \exp\{-S[A, \psi, \bar{\psi}]\}$$

$$S[A, \psi, \bar{\psi}] = S_{\text{QCD}}[A, \psi, \bar{\psi}] - \int d^3x [\bar{\psi}(x) P_- \psi(x)]_{x_0=0} - \int d^3x [\bar{\psi}(x) P_- \psi(x)]_{x_0=L}$$

bulk action

d=3 counter-terms due to the SF boundary

- the existence of  $d \leq 3$  boundary counter-terms is believed to be a general result; there is a lot of corroborative evidence for it
- these counter-terms induce multiplicative renormalization of the boundary fields  $\rho, \rho'$ , etc.
- thus for vanishing  $\rho, \rho'$ , etc., the only SF renormalization is that of the mass and the coupling

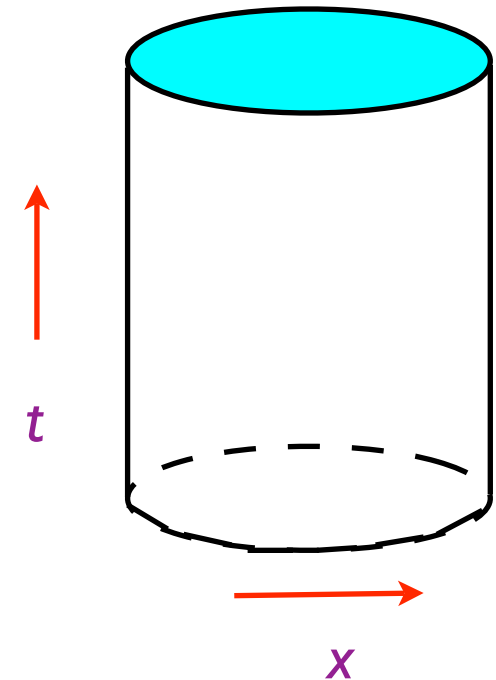
Schrödinger Functional  
renormalization scheme:  
gauge coupling

## SF scheme: gauge coupling

- the background gauge field configuration  $B_\mu$  minimizes the action for specific configurations of boundary fields  $C_k$  and  $C_k'$
- the effective action is defined as  $\Gamma[B] \equiv -\ln \mathcal{Z}[C_k; C_k']$
- its perturbative expansion is

$$\Gamma[B] \equiv -\ln \mathcal{Z}[C'; C] = \frac{1}{g_0^2} \Gamma_0[B] + \Gamma_1[B] + g_0^2 \Gamma_2[B] + \dots$$

$$\Gamma_0[B] = g_0^2 S[B]$$



- we need to define a coupling which depends only on a single scale; the available one is  $1/L$
- it is possible to parametrize  $C_k$  and  $C_k'$  in terms of a dimensionless parameter  $\eta$ , so that  $LB$  depends on  $\eta$ ; i.e. the field strength scales as  $1/L$
- a choice for the renormalized coupling (i.e. a renormalization scheme) is the definition

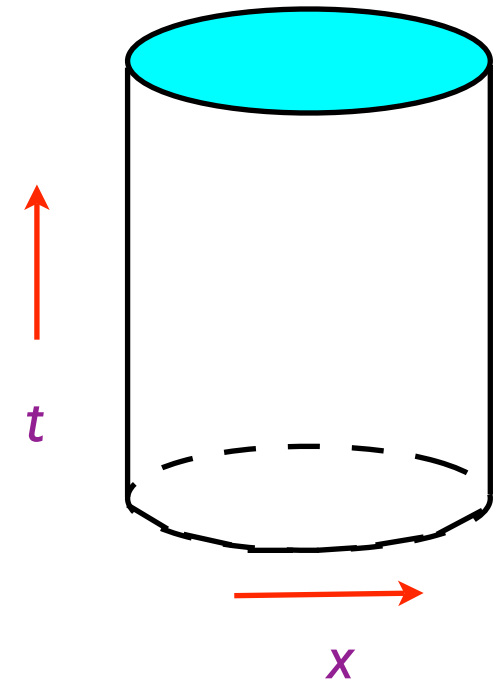
$$\bar{g}^2(L) = \left[ \frac{\partial \Gamma_0}{\partial \eta} / \frac{\partial \Gamma}{\partial \eta} \right]_{\eta=0}$$

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- other definitions (i.e. other schemes) are possible, e.g.

$$\bar{g}^2(L) = \left[ \frac{3}{4} r^2 F_{q\bar{q}}(r, L) \right]_{r=L/2}$$

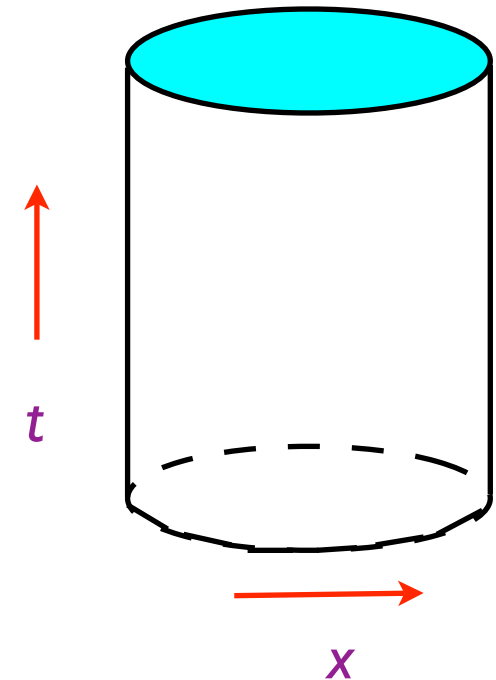
force between static quarks at distance  $r$  in a box  $L$

## SF scheme: gauge coupling

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- the effective action is defined as  $\Gamma[B] \equiv -\ln \mathcal{Z}[C_k; C_k']$
- its perturbative expansion is

$$\Gamma[B] \equiv -\ln \mathcal{Z}[C'; C] = \frac{1}{g_0^2} \Gamma_0[B] + \Gamma_1[B] + g_0^2 \Gamma_2[B] + \dots$$

$$\Gamma_0[B] = g_0^2 S[B]$$



- the SF coupling has the following attractive features:

- depends on a single scale  $\mu = 1/L$

$$\bar{g}^2(L) = \left[ \frac{\partial \Gamma_0}{\partial \eta} / \frac{\partial \Gamma}{\partial \eta} \right]_{\eta=0}$$

- is an inherently non-perturbative definition

- the SF b.c.'s exclude gluon zero modes; coupling may be computed even at small boxes  $L^3$

- relation between S.F. and MS has been worked out in PT

$$\alpha_{\text{SF}}(L) = \alpha_{\overline{\text{MS}}}(\mu) + \left[ \frac{11}{2\pi} \ln(\mu L) - 1.2556 \right] \alpha_{\overline{\text{MS}}}(\mu)^2$$



## Step scaling function

- we define (in the continuum) a discrete version of the  $\beta$ -function, the **step scaling function**  $\sigma$
- it describes the change of the coupling between an (inverse) scale  $L$  and an (inverse) scale  $sL$ , for  $s$  integer (typically  $s=2$ )

$$\bar{g}^2(L) = u \quad \bar{g}^2(sL) = u' \quad \sigma(s, u) = u'$$

$$\beta(\bar{g}) = \mu \frac{\partial \bar{g}}{\partial \mu}$$

- this is a discrete form of the Callan-Symanzik beta function
- differentiate both sides w.r.t.  $\mu$   $d/d\mu = -L d/dL$  and use above

$$\beta[\sqrt{\sigma(s, u)}] = \beta[\sqrt{u}] \sqrt{\frac{u}{\sigma(s, u)}} \frac{d\sigma(s, u)}{du}$$

- so if we know the ssf, we can reconstruct the Callan-Symanzik function recursively
- the step scaling function in PT is given by

$$\sigma(s, u) = u + 2b_0 \ln(s) u^2 + \dots$$

## Step scaling function

- we next define (in the continuum) a discrete version of the  $\beta$ -function, the **step scaling function**
- it describes the change of the coupling between an (inverse) scale  $L$  and an (inverse) scale  $sL$ , for  $s$  integer (typically  $s=2$ )

$$\bar{g}^2(L) = u \quad \bar{g}^2(sL) = u' \quad \sigma(s, u) = u'$$

- this setup is suitable for a NP computation of the coupling / step scaling function
- in practice we compute NP-ly the **step scaling function** in a range of couplings  $u_{\min}$  and  $u_{\max}$ , corresponding to two scales  $\mu_{\max}$  and  $\mu_{\min}$ ; so we obtain the RG-running between them
- the two scales are separated by a power of  $s$ , i.e.  $\mu_{\max} = s^k \mu_{\min}$ , typically  $s=2$
- the gauge coupling and step scaling function calculations requires choosing a regularization: lattice is the obvious choice
- on the lattice it has an additional dependence on the lattice resolution  $L/a$

$$\Sigma(s, u, a/L) = u' \quad \sigma(s, u) = \lim_{a \rightarrow 0} \Sigma(s, u, a/L)$$

## Step scaling function

- lattice gauge action of choice is the Wilson plaquette one, with some care at the t-boundaries
- lattice fermion action of choice is Wilson, with some care at the t-boundaries
- proceed as follows:

★ choose a lattice with  $L/a$  points in each direction

★ tune bare coupling so that the renormalized coupling has a fixed value

$$g_0^2 \rightarrow \bar{g}^2(L) = u$$

★ at the same bare coupling, compute the renormalized coupling on a lattice twice as big  $2L/a$

$$\begin{aligned} g_0^2 &\rightarrow \bar{g}^2(2L) = u' \\ u' &= \Sigma(2, u, a/L) \end{aligned}$$

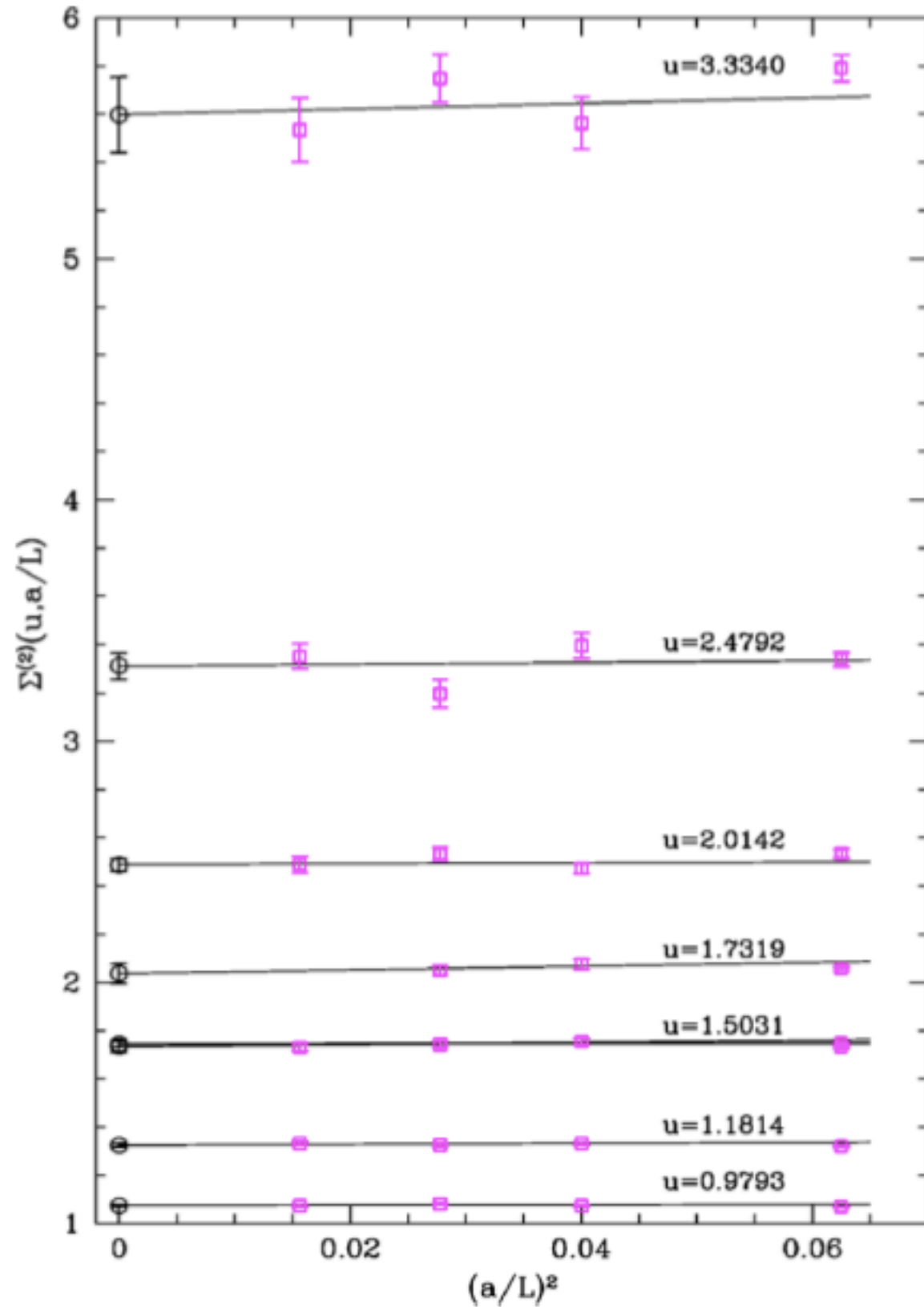
★ repeat this for several resolutions  $L'/a, L''/a$

★ extrapolate to the continuum

$$\sigma(s, u) = \lim_{a \rightarrow 0} \Sigma(s, u, a/L)$$

$$\bar{g}^2(L) = \left[ \frac{\partial \Gamma_0}{\partial \eta} / \frac{\partial \Gamma}{\partial \eta} \right]_{\eta=0}$$

# Step scaling function: results for $N_f = 2$



M.Della Morte et al. Nucl.Phys.B713(2005)378

$$g_0^2 \rightarrow \bar{g}^2(L) = u$$

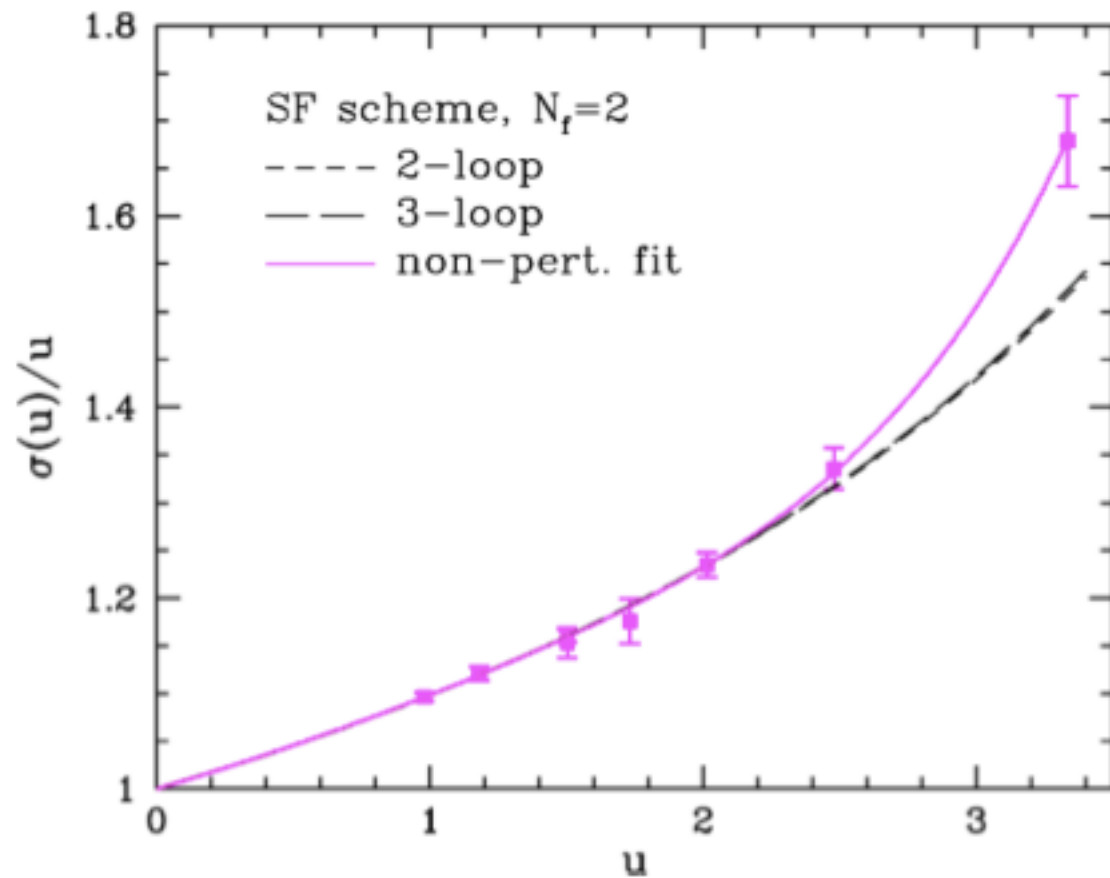
$$g_0^2 \rightarrow \bar{g}^2(2L) = u'$$

$$u' = \Sigma(2, u, a/L)$$

$$\sigma(s, u) = \lim_{a \rightarrow 0} \Sigma(s, u, a/L)$$

# Step scaling function: results for $N_f = 2$

M.Della Morte et al. Nucl.Phys.B713(2005)378



$$g_0^2 \rightarrow \bar{g}^2(L) = u$$

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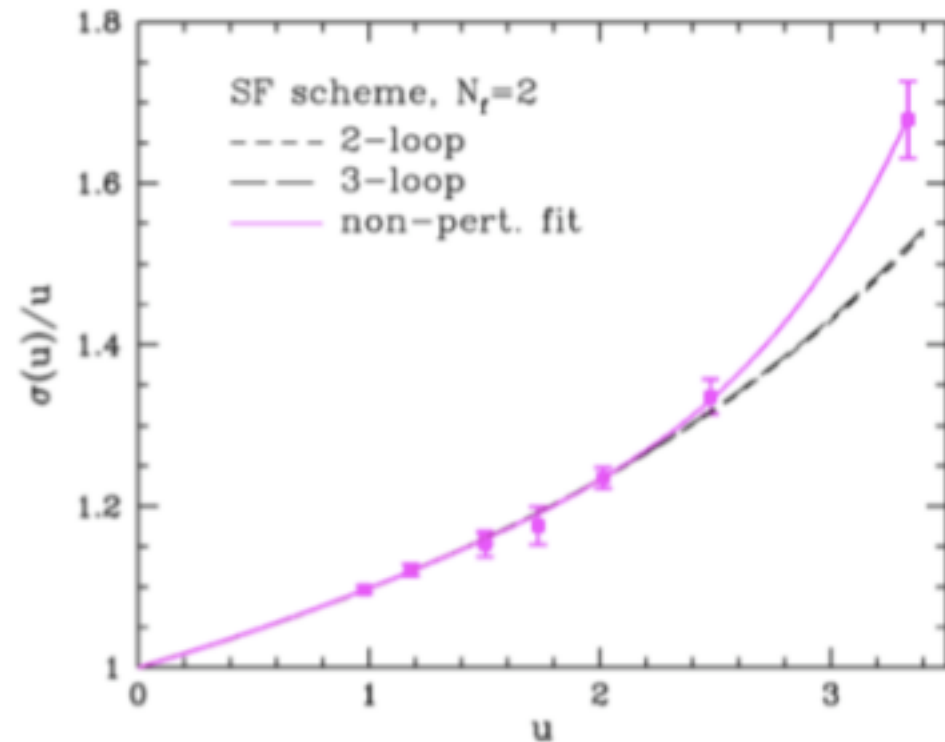
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- an expression of the continuum ssf  $\sigma(u)$ , as a function of the coupling  $u$ , is obtained by fitting the points above; so we know the ssf in a range  $[u_{min}, u_{max}]$ , corresponding to a range of (still unknown!) scales  $[\mu_{max}, \mu_{min}]$  ( or equivalently  $[L_{min}, L_{max}]$  )
- NB: the agreement/disagreement between PT/NP is a scheme-dependent observation

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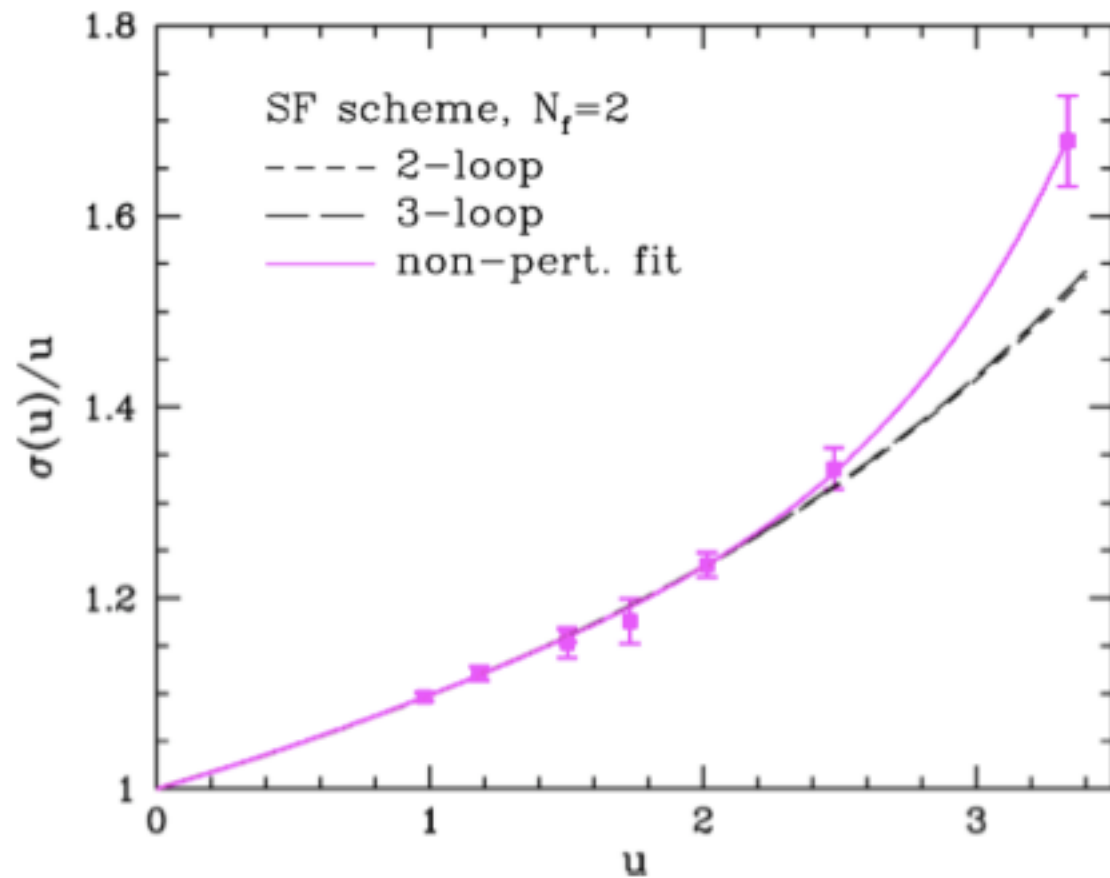
M.Della Morte et al. Nucl.Phys.B713(2005)378



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- NB: the agreement between PT/NP at low couplings is scheme dependent!!

# Step scaling function: results for $N_f = 2$

M.Della Morte et al. Nucl.Phys.B713(2005)378



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- NB: the agreement/disagreement between PT/NP is a scheme-dependent observation

# Gauge coupling: results for $N_f = 2$

- knowing NPly ssf  $\sigma(u)$ , we can now compute NP-ly the running strong coupling:
- on the previous plot of  $\sigma(u)$  vs.  $u$ , choose a number of discrete couplings:

$$\begin{aligned}
 u_1 &= \bar{g}^2(L_{\min}) &\leftrightarrow & \frac{\Lambda_{\text{SF}}}{\mu_{\max}} & \leftarrow \text{known from PT} \\
 u_2 &= \bar{g}^2(2L_{\min}) &\leftrightarrow & \frac{\Lambda_{\text{SF}}}{\mu_{\max}/2} = \frac{\mu_{\max}}{\mu_{\max}/2} \frac{\Lambda_{\text{SF}}}{\mu_{\max}} \\
 u_3 &= \bar{g}^2(4L_{\min}) &\leftrightarrow & \frac{\Lambda_{\text{SF}}}{\mu_{\max}/4} = \frac{\mu_{\max}/2}{\mu_{\max}/4} \frac{\mu_{\max}}{\mu_{\max}/2} \frac{\Lambda_{\text{SF}}}{\mu_{\max}} \\
 &\dots && \dots & \\
 u_k &= \bar{g}^2(2^k L_{\min} = L_{\max}) &\leftrightarrow & \frac{\Lambda_{\text{SF}}}{\mu_{\min}} = \frac{2\mu_{\min}}{\mu_{\min}} \frac{4\mu_{\min}}{2\mu_{\min}} \dots \frac{\mu_{\max}/2}{\mu_{\max}/4} \frac{\mu_{\max}}{\mu_{\max}/2} \frac{\Lambda_{\text{SF}}}{\mu_{\max}}
 \end{aligned}$$

$$\frac{\Lambda_{\text{SF}}}{\mu_{\max}} = \exp \left[ -\frac{1}{2b_0 \bar{g}^2(\mu_{\max})} \right] \left[ b_0 \bar{g}^2(\mu_{\max}) \right]^{-b_1/(2b_0^2)} \exp \left[ -\int_0^{\bar{g}(\mu_{\max})} dg \left[ \frac{1}{\beta(g)} + \frac{1}{b_0 g^3} - \frac{b_1}{b_0^2 g} \right] \right]$$

$u_1 = u_{\min}$



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 \dots & \dots & \dots \\
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 \end{array}$$

iteratively work out couplings  $u(L)$  and  $u(2L)$  for each pair of successive scales  $\mu$  and  $\mu/2$  from ssf  $\sigma(u)$

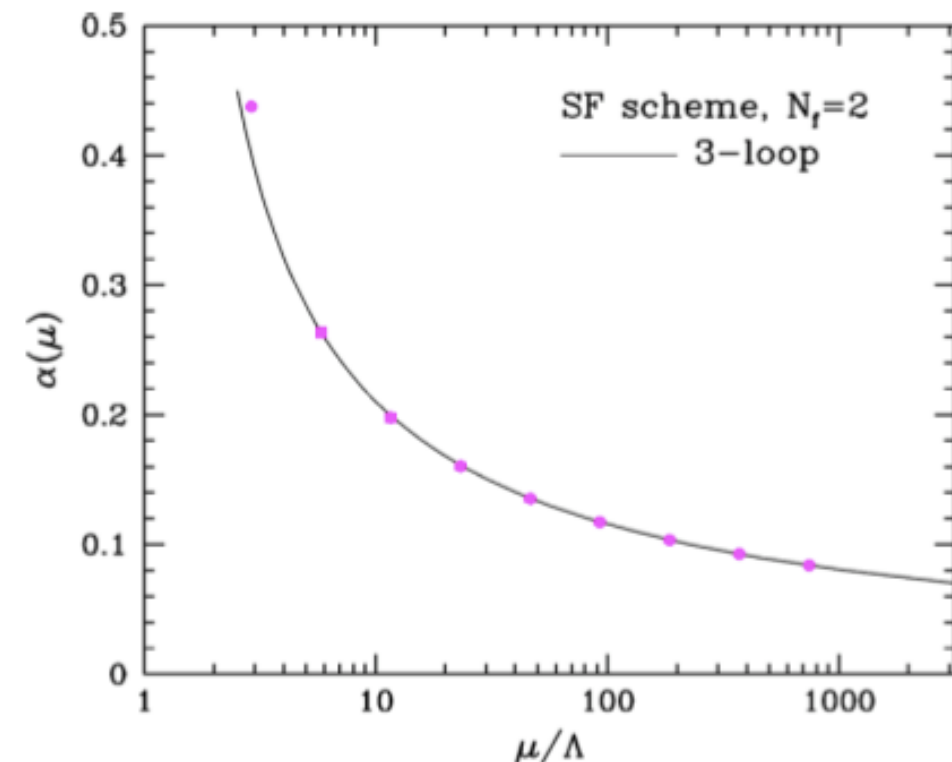
thus we obtain the correspondence between  $u(L)$  and  $\Lambda_{\text{SF}}/\mu$  (with  $\mu = 1/L$ ) for the whole range of scales  $\mu$

# Gauge coupling: results for $N_f = 2$

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 \end{aligned}$$

M.Della Morte et al. Nucl.Phys.B713(2005)378



- NB: again the scale  $\mu$  is expressed in units of the (still unknown)  $\Lambda_{\text{SF}}$ ; we need to know  $\mu$  in physical units e.g. GeV

# Physical scale

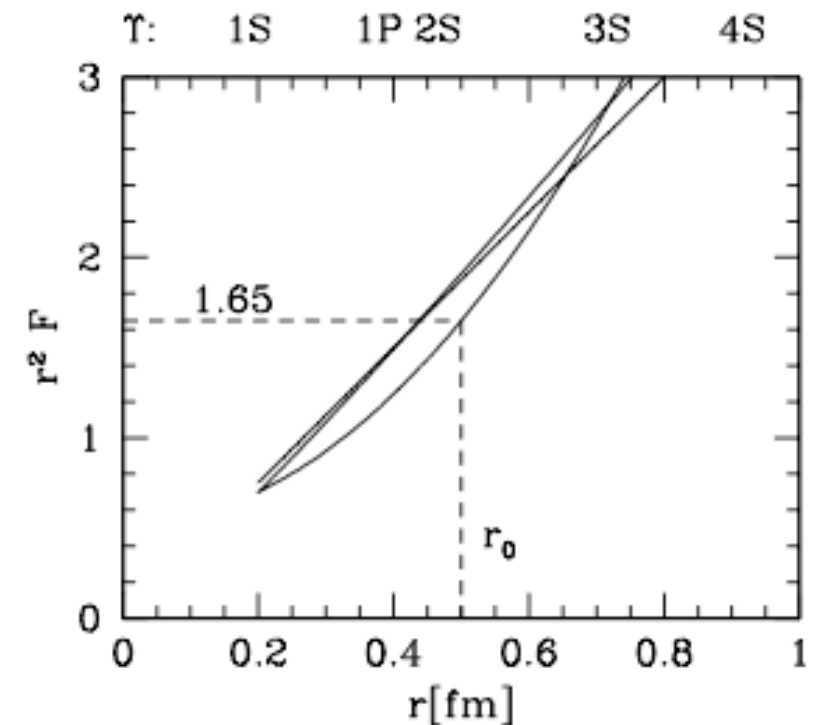
- all results obtained so far are “purely field theoretic”; i.e. they have been obtained from the massless QCD action, without any external (experimental) input
- this is the reason that everything so far involved dimensionless quantities
- in order to make contact with the real world, we need to know  $\mu_{min}$  (or  $\Lambda_{SF}$ ) in physical units
- strategy:
  - for a series of lattice resolutions  $L_{max}/a, L_{max}/a', L_{max}/a'', \dots$ , tune the bare couplings  $g_0, g_0', g_0'', \dots$  so as to have the same fixed renormalized coupling  $g_R(L_{max}) = const.$
  - for these bare couplings compute some suitable physical quantity; e.g. the proton mass  $am_p, a'm_p, a''m_p, \dots$
  - the products  $[L_{max}/a] \times [am_p]$ , extrapolated to the continuum for all lattice spacings  $a, a', a'', \dots$ , gives us  $L_{max} m_p$
  - use the physical (expt.lly known) value of  $m_p$  to get  $L_{max}$  (i.e.  $\mu_{min}$ ) and thus  $\Lambda_{SF}$
- for historical (quenching) and practical reasons, another observable known as the Sommer parameter  $r_0$  is used instead of  $m_p$

# Physical scale

- the parameter  $r_0$  is the physical distance at which the static quark-antiquark potential  $F(r)$  has a chosen fixed value:

$$[r^2 F(r)]_{r=r_0} = 1.65$$

dimensionless quantity



- phenomenological models suggest that for  $[r^2 F(r)] = 1.65$ , we get  $r_0 = 0.5$  fm
- the rest is similar to the procedure described previously, based on the proton mass; instead of  $m_p$ , we have  $1/r_0$
- so we are in a position to compute  $\mu_{min}$  (or  $\Lambda_{SF}$ ) in physical units
- however, people prefer to see  $\Lambda_{\overline{MS}}$
- this implies that we have to match the SF scheme to  $\overline{MS}$

## $\Lambda$ -dependence of renormalization scheme

- given two schemes “1” and “2”, the corresponding renormalized couplings are connected, to all orders in PT by the relation

$$\bar{g}_1^2 = \bar{g}_2^2 [1 + c_1 \bar{g}_2^2 + c_2 \bar{g}_2^4 + c_3 \bar{g}_2^6 \cdots]$$

- recall that the corresponding  $\Lambda$  parameters are written as

$$\Lambda_{1,2} = \lim_{\mu_0 \rightarrow \infty} \mu_0 \exp \left[ -\frac{1}{2b_0 \bar{g}_{1,2}^2(\mu_0)} \right] \left[ b_0 \bar{g}_{1,2}^2(\mu_0) \right]^{-b_1/(2b_0^2)}$$

- from these expressions we can work out the ratio, valid to all orders in PT

$$\frac{\Lambda_1}{\Lambda_2} = \exp \left[ \frac{c_1}{2b_0} \right]$$

- NB: only the first perturbative coefficient is necessary!!
- the scheme matching has been worked out between SF and  $\overline{\text{MS}}$

M.Della Morte et al. Nucl.Phys.B713(2005)378

$$\Lambda_{\overline{\text{MS}}}^{N_f=2} = 245(16)(16)\text{MeV} \quad \text{with } r_0 = 0.5\text{fm}$$

Schrödinger Functional  
renormalization scheme:  
quark mass

# Quark mass RG-running and the SF

- having dealt with the gauge coupling we turn to the other QCD fundamental parameters, i.e. the quark masses
- they are “unphysical” (i.e. non-observable) field theoretic quantities, which depend on the renormalization scale
- their RG-running is governed by the **anomalous dimension  $\gamma$**
- in a mass independent scheme,  $\gamma(g_R)$  depends on the number of flavours but not on the quark masses
- it is defined as:
- and has the following perturbative expansion:

$$m_R \gamma(g_R) = \mu \frac{\partial m_R}{\partial \mu}$$

$$\gamma(g) = -g^2 \left[ d_0 + d_1 g^2 + d_2 g^4 + \dots \right]$$

$$d_0 = \frac{8}{(4\pi)^2} \quad \text{universal}$$

renormalization scheme  
dependent

## Quark mass RG-running and the SF

- the quark mass RG equation is integrated between a minimum and a maximal energy scale; the former is taken to infinity (i.e. coupling to zero)
- this procedure is similar to that exposed in detail for the gauge coupling, and gives rise to a constant quantity, with the dimensions of mass

$$M_{\text{RGI}} \equiv \lim_{\mu_0 \rightarrow \infty} m_{\text{R}}(\mu_0) \left[ 2b_0 g_{\text{R}}^2(\mu_0) \right]^{-d_0/(2b_0)}$$

$$M_{\text{RGI}} = m_{\text{R}}(\mu) \left[ 2b_0 g_{\text{R}}^2(\mu) \right]^{-d_0/(2b_0)} \exp \left[ - \int_0^{g_{\text{R}}(\mu)} dg \left[ \frac{\gamma(g)}{\beta(g)} - \frac{d_0}{b_0 g} \right] \right]$$

regular in the limit  $g_{\text{R}}(\mu_0) \rightarrow 0$

- the ratio of the RGI mass  $M_{\text{RGI}}$  to the renormalized mass  $m_{\text{R}}(\mu)$  is a field theoretic quantity, independent of any physical input
- it depends on the flavour number, but not on the quark mass value
- using the definition of the RGI mass for two distinct schemes, it can be shown that it is a scheme independent quantity



# Quark mass RG-running and the SF

- the definition of the quark mass step scaling function is the ratio of the renormalized masses at two consecutive scales, at

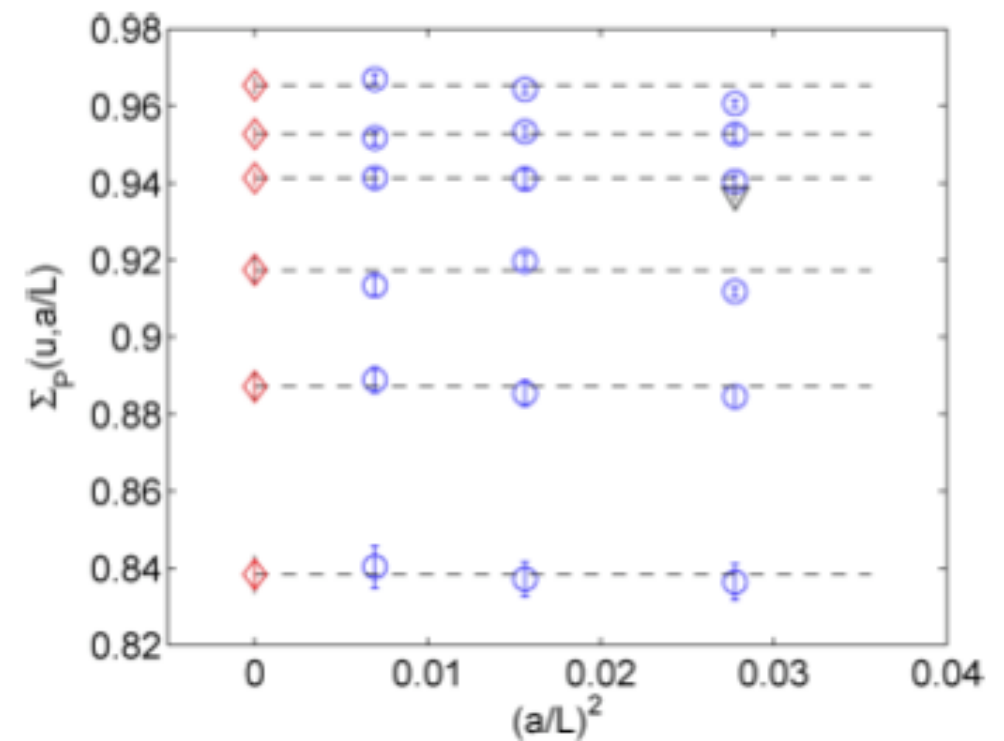
$$\sigma_P(s, u) = \frac{m_R(\mu)}{m_R(\mu/s)} = \frac{Z_P^{-1}(aL) m_0(g_0)}{Z_P^{-1}(asL) m_0(g_0)} = \frac{Z_P^{-1}(aL)}{Z_P^{-1}(asL)}$$

at same renorm. coupling  $u$

$g_0^2$  corresponding to  $u$

this is how it is computed

- computation performed at zero quark mass (i.e. ssf defined in the chiral limit)
- it follows recursive logic of the coupling ssf computation
- the lattice ssf  $\Sigma_p(u, L)$  is computed at several renormalized couplings and extrapolated to the continuum limit
- the  $N_f = 2$  result is shown



# Quark mass RG-running and the SF

- knowing NPly ssf  $\sigma p(u)$ , we can now compute NP-ly the running strong coupling

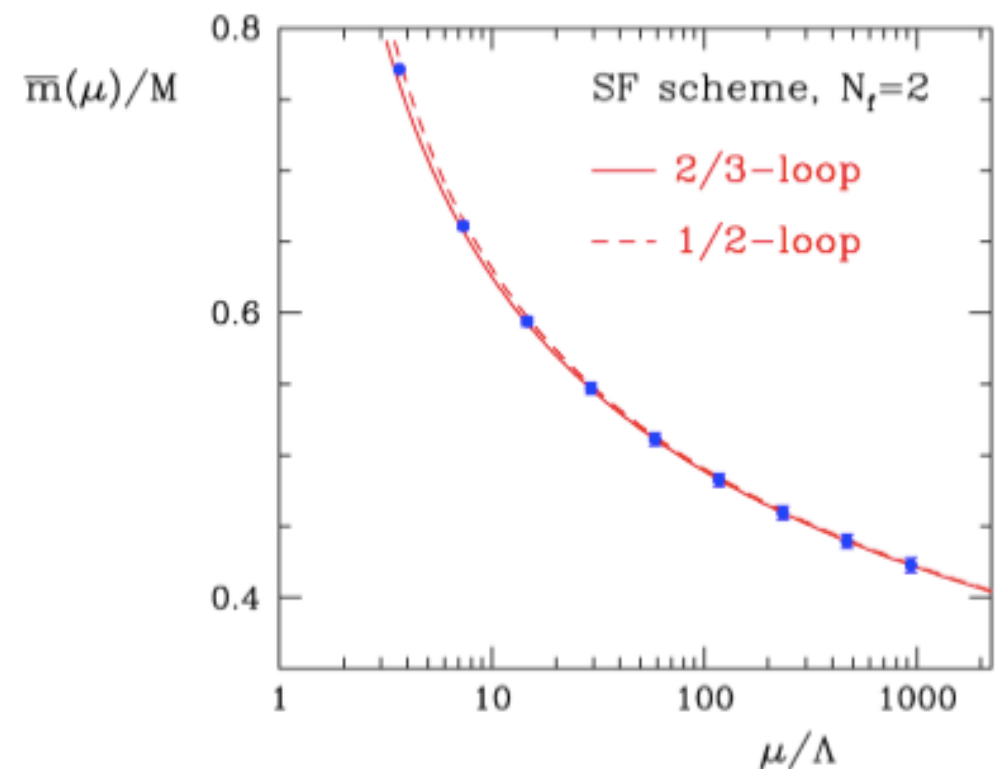
$$\frac{M}{m_R(\mu_{\min})} = \frac{m_R(2\mu_{\min})}{m_R(\mu_{\min})} \frac{m_R(4\mu_{\min})}{m_R(2\mu_{\min})} \dots \frac{m_R(\mu_{\max}/2)}{m_R(\mu_{\max}/4)} \frac{m_R(\mu_{\max})}{m_R(\mu_{\max}/2)} \frac{M}{m_R(\mu_{\max})}$$

known from ssf  $\sigma p(u)$

$$\frac{M}{m_R(\mu_{\max})} = \left[ 2b_0 \bar{g}^2(\mu_{\max}) \right]^{-d_0/(2b_0^2)} \exp \left[ - \int_0^{\bar{g}(\mu_{\max})} dg \left[ \frac{\gamma(g)}{\beta(g)} - \frac{d_0}{b_0 g} \right] \right]$$

$U_{\min}$

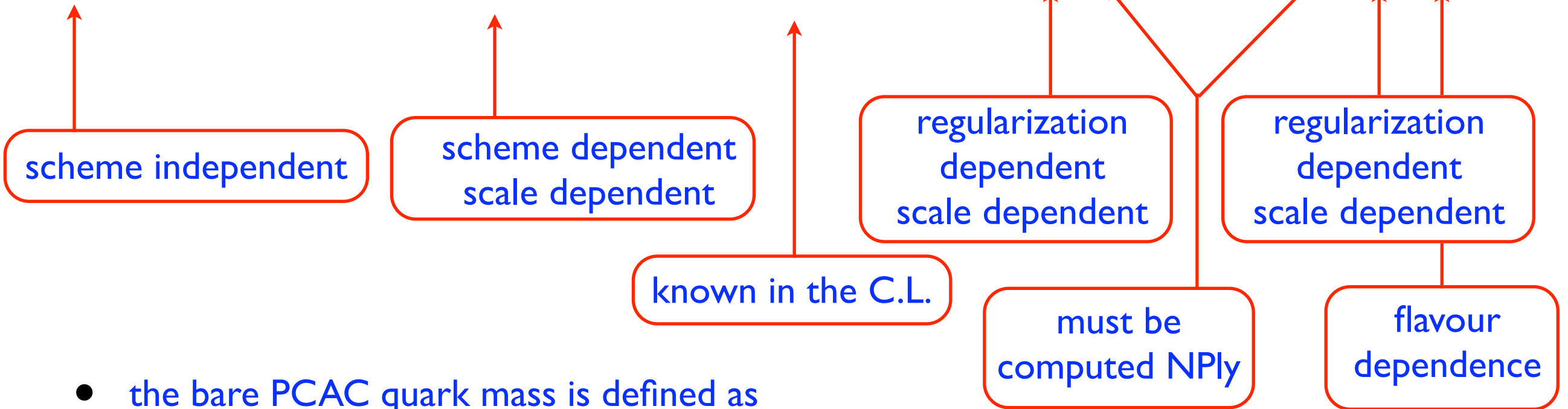
$$\frac{M}{m_R(\mu_{\min})} = 1.297(16) \quad N_f = 2$$



# Quark mass RG-running and the SF

- now the RGI quark mass of a given flavour  $f$  can be computed

$$M_f = \frac{M_f}{m_R(\mu_{\min})} m_R(\mu_{\min}) = \frac{M_f}{m_R(\mu_{\min})} \lim_{a \rightarrow 0} Z_P^{-1}(a\mu_{\min}, g_0) m_{\text{PCAC}}(g_0)$$



- the bare PCAC quark mass is defined as

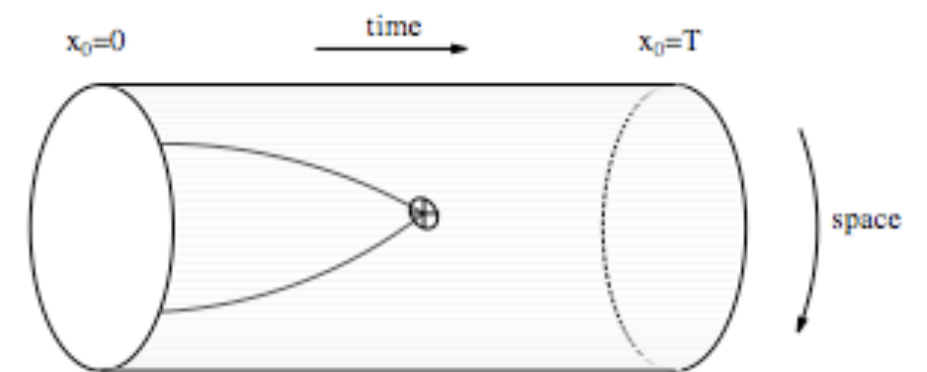
$$m_{\text{PCAC}} = \frac{Z_A \partial_0 f_A}{2 f_P}$$

- for SF correlation functions:

$$f_P = \langle P(x) O(0) \rangle$$

$$f_A = \langle A_0(x) O(0) \rangle$$

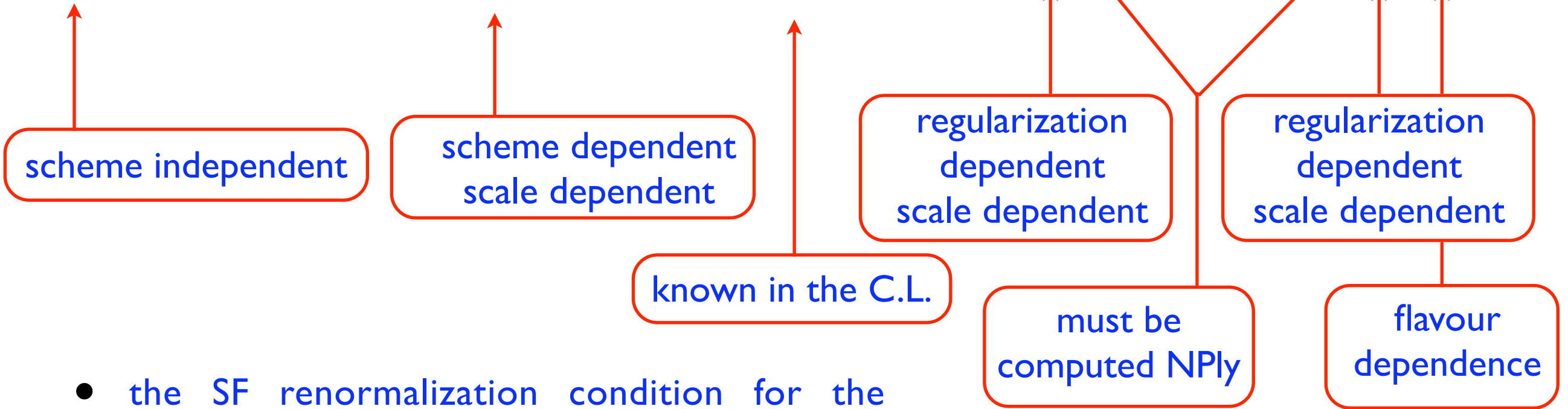
boundary source composite field with pseudoscalar quantum numbers



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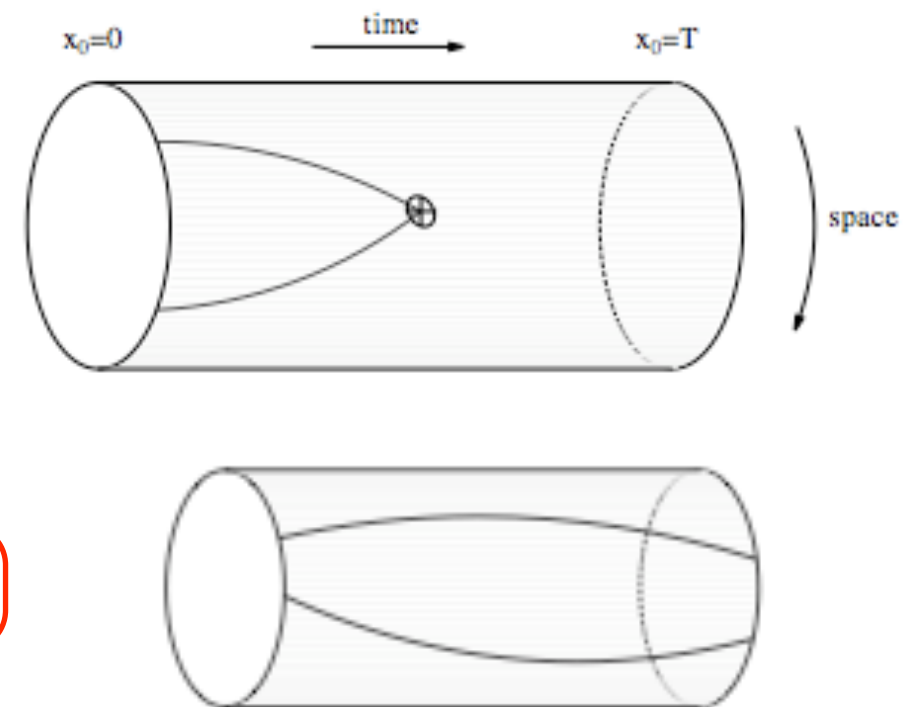


- the SF renormalization condition for the pseudoscalar density is:

$$\frac{Z_P(L_{\max}) f_P(L_{\max}/2)}{\sqrt{f_1}} = \text{T.L.} \left[ \frac{f_P(L_{\max}/2)}{\sqrt{f_1}} \right]$$

cancels boundary quark field renormalization

tree level



# Quark mass RG-running and the SF

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$$M_f = \frac{M_f}{m_R(\mu_{\min})} m_R(\mu_{\min}) = \frac{M_f}{m_R(\mu_{\min})} \lim_{a \rightarrow 0} Z_P^{-1}(a\mu_{\min}, g_0) m_{\text{PCAC}}(g_0)$$

scheme dependent  
scale dependent

must be  
computed NPlly

flavour  
dependence

- simulations at the physical up/down quark masses are a daunting task
- simulations in the mass range  $[m_s/4, m_c]$  are nowadays feasible
- a nice approach is to define a reference quark mass (approximately  $m_s/2$ ) for which a “Kaon” consisting of two degenerate valence quarks weighs 495 MeV (the “physical” value)
- this “world” is a two degenerate flavour ( $N_f = 2$ ) theory
- the previous SF procedure, once the bare quark mass is tuned to the reference quark mass etc., gives  $M_{\text{ref}} = 72 (3) (13) \text{ MeV}$
- next use the chiral PT result  $M_s = 48/25 M_{\text{ref}}$ , to obtain  $M_{\text{strange}} = 138 (5) (26) \text{ MeV}$

# Recapitulation of RG-running with the SF

PT-regime: compute RGI quantities ( $\Lambda_{\text{QCD}}, M_{\text{RGI}}$ ); use them in high-energy phenomena (jet Physics)

$$\mu = 2^n / L_{\text{max}}$$

renormalization  
scale (energy)

hadronic scheme

n recursive steps

Schrödinger Functional scheme

$$\mu = 1/L_{\text{max}}$$

$$L_{\text{max}} \sim 0.5\text{fm}$$

$$g_{\text{R}} \leftrightarrow m_p^{\text{phys}}$$

$$m_{\text{R}}^{u/d} \leftrightarrow m_{\pi}^{\text{phys}}$$

NP-regime: compute hadronic  
matrix elements and SF  
renorm. constants

